Resubmit a Returned Protocol

Important: A protocol can be created, edited and submitted by the Principal Investigator (PI), Laboratory Contact or Alternate Lab Contact. <u>However</u>, ONLY the Principal Investigator can complete two sections of the protocol:

- Only the PI of the grant or subcontract can add his or her own SPO Funding information in the **Funding Sources** section of the protocol. The PI of the grant or subcontract must also be listed in the Personnel Information section of the protocol in one of the following roles: Principal Investigator, Laboratory Contact or Alternate Lab Contact. Training grants can be added by anyone in the one of the aforementioned roles.
- Before the eProtocol system will permit the protocol to be submitted, the PI must log into the system and check the appropriate box in the **Certification** section of the protocol, accepting the responsibilities of the PI. This requirement exists as an electronic substitute for an ink signature from the PI. See the <u>Quick Guide on Certifications</u> for more information.
- 1) After a protocol has been submitted to the ACUC, but before it has been assigned for review, the Office for Animal Care and Use (OACU) may return the protocol to the PI requesting additional information. The PI can review the comments by the OACU, edit the protocol and resubmit it.
- 2) Log in to eProtocol with your CalNet ID & password at: <u>https://eprotocol.berkeley.edu</u>
- 3) Click on the IACUC tab to see your Investigator Home Page for ACUC Submissions.



- 4) The returned protocol will state "Resubmit the Protocol" in the Protocol Event column.
- 5) Click on the **Resubmit the Protocol** link to open the protocol, read the OACU comments, edit the protocol and resubmit.

			Create Protocol	Clone Protocol	Delete Protoco		
IACUC	IRB	Click on the Resub	mit the Protocol link	to open the pro	tocol,		
Protocols (In Pr	eparation / Submitted)	view OACU comme	view OACU comments, edit the protocol & resubmit.				
NEW					3		
Protocol ID	Principal Investigator	Title	Protocol Event	Panel	Meeting Date		
14-07-3748	EMP-FACULTY TEST	Type your study title here	Resubmit the Protocol				
14-07-3749	EMP-FACULTY TEST	Test Protocol	Yet to Submit to IACUC				

6) A pop-up window will appear asking if you want to open the protocol for editing. Click on Edit.

Note: If there is <u>no</u> edit option, someone else has the protocol open and you must ask them to close the protocol before you will be able to proceed. Only one person can edit a protocol at one time.

?	Do you war	t to open IACUC Protocol 14-07-3749 (TEST) for Editing?
	Edit to open protocol for ng.	Edit

7) To see why the application was returned, click on **Return Notes** in the blue side panel menu. A pop-up will appear with comments from OACU Staff.

Personnel Information Species	
Are You Using?	
Funding Sources	
Protocol Information	
Certifications	Click on Return Notes to view
Return Notes	comments from OACU.
Protocol Notes	
Check For Completeness	
Submit Form	
Print View	
Event History	
Email History	

8) Click **OK** to close the Protocol Notes pop-up and make any edits/revisions to the protocol.

Protocol ID: 14-07-3748 (EMP-FACULTY TEST) Return Notes	Click OK to close the Return Notes window.	ОК
Return Note	Added By	Date Added
OACU return notes appear here	Jennifer Lee (Committee Manager)	07/11/2014

9) Once the required changes are made, you can resubmit the application by clicking on **Submit Form** in the blue side panel menu.

Funding Sources Protocol Information Certifications Return Notes Protocol Notes Check For Completeness Submit Form Print View Event History		
Are You Using? Funding Sources Protocol Information Certifications Return Notes Protocol Notes Check For Completeness Submit Form Print View Event History	Personnel Information	
Funding Sources Protocol Information Certifications Return Notes Protocol Notes Check For Completeness Submit Form Print View Event History	Species	
Protocol Information Certifications Return Notes Protocol Notes Check For Completeness Submit Form Print View Event History	Are You Using?	
Certifications Return Notes Protocol Notes Check For Completeness Submit Form Print View Event History	Funding Sources	
Return Notes Protocol Notes Check For Completeness Submit Form Print View Event History	Protocol Information	
Protocol Notes Check For Completeness Submit Form Print View Event History	Certifications	
Check For Completeness Submit Form Print View Event History	Return Notes	
Submit Form Click on Submit Form to resubmit the protocol. Print View Event History	Protocol Notes	
Submit Form resubmit the protocol. Print View Event History	Check For Completeness	Click on Submit Form to
Event History	Submit Form	
	Print View	
Email History	Event History	
	Email History	

10) The protocol will appear on your Investigator Home Page, with the Protocol Event stating **Resubmitted**.

			Create Protocol	Clo	ne Protocol	Delete Protocol
IACUC IRB Protocols (In Preparation / Submitted)			Protocol Event indicates "Resubmitted to IACUC".			*
NEW	,					*
Protocol ID	Principal Investigator	Title	Protocol Event		Panel	Meeting Date
14-07-3748	EMP-FACULTY TEST	Type your study title here	RESUBMITTED TO IAC	UC		
14-07-3750	EMP-FACULTY TEST	Test Protocol #2	Yet to Submit to IACUC			
14-07-3749	EMP-FACULTY TEST	Test Protocol	Yet to Submit to IACUC			