Trouble Submitting a Protocol Application

**Important:** A protocol can be created, edited and submitted by the Principal Investigator (PI) and Laboratory Contact.

- Only the PI and/or Co-PI of the grant or subcontract can add his or her own SPO Funding information in the **Funding Sources** section of the protocol.

- Before the eProtocol system will permit the protocol to be submitted, the PI must log into the system and check the appropriate box in the **Certification** section of the protocol, accepting the responsibilities of the PI. This requirement exists as an electronic substitute for an ink signature from the PI. See the [Quick Guide on Certifications](#) for more information.

1) Once the application is complete, the Principal Investigator and Laboratory Contact can submit the application by clicking on **Submit Form** in the blue side panel menu.

Some of the common problems that prevent the completion of the submission, include incomplete submission process and incomplete protocol form.

![Protocol Submission Steps](image)

2) After the user click **Submit Form**, a pop-up will appear asking if you want to submit. Click on **Yes**. This window often gets obscured on the user’s desktop, and user thinks they’ve submitted but they haven’t. **You must click ‘Yes’ to proceed with the submission.**

![Submit Form Pop-up](image)

3) The system will then check to make sure that all of the sections in the protocol application have been completed.
a. If any section is incomplete, the protocol will not be submitted and another pop-up will appear including a link to the incomplete section(s); you will need to click on the link to add the missing information, and repeat steps 1-3.

Again, this window often gets obscured on the user’s desktop, and user thinks they’ve submitted but they haven’t. You must click complete the form to proceed with the submission.

b. The most common section of the form that is incomplete, particularly with Amendments, is the Certification section. Each time a protocol is submitted, including Amendments and Continuing Reviews, the PI must complete the Certification section.

4) If the protocol application is complete:

a. A message will appear your Investigator Home Page in bright blue thanking you for the submission

b. The Protocol Event column will change to read “SUBMITTED TO IACUC.”

c. The PI and Laboratory Contact will receive an automated email from eProtocol notifying them the protocol has been submitted.

  Thank You for Submitting the Protocol 14-07-3748.

  eProtocol » Investigator » Home

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  d. Once submitted, the protocol is “locked” and can’t be edited until the protocol is either returned for comment or approved.